



## Excavation & Grading of Land Supplemental Questionnaire

### **GENERAL INFORMATION**

Applicant Name: \_\_\_\_\_

Has the application changed name in the past 5 years? \_\_\_\_\_

Does the applicant have any ownership over other entities? \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Effective Date: \_\_\_\_\_

Inspection Contact: \_\_\_\_\_

Location Address (if different from above): \_\_\_\_\_

FEIN: \_\_\_\_\_

Website Address: \_\_\_\_\_

Date Business Started: \_\_\_\_\_ Number of years experience: \_\_\_\_\_

Has applicant changed names in the last 5 years?      Yes      No

If yes, provide details: \_\_\_\_\_

Description of Operations:

\_\_\_\_\_  
\_\_\_\_\_

List all states the applicant operates in: \_\_\_\_\_

### **PROGRAM ELIGIBILITY**

1. Does the risk communicate with the Utility Marking Service and utilize the utility marking service prior to all scheduled underground work?      Yes      No
2. Is the risk a one-person operation with no employees?      Yes      No
3. Has the risk been cited for any OSHA violations in the last three years?  
Yes      No

If YES, please explain:

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4. Indicate the type of work the risk performs:

Commercial \_\_\_\_\_% Residential \_\_\_\_\_% Industrial \_\_\_\_\_%

5. Risk is operating as:

a. Subcontractor (You self-perform 60% of your own work or greater)  
\_\_\_\_\_%

b. General Contractor (You hire out over 40% of operations to be performed  
by others) \_\_\_\_\_%

6. Does the risk hire subcontractors?      Yes      No

a. If YES, indicate percentage: \_\_\_\_\_%

Subcontracting costs? \_\_\_\_\_

Please describe what kind of work is subbed out:

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Does applicant obtain certificates of insurance from all subcontractors?

Yes      No

Does applicant require all subcontracts to carry primary liability insurance  
limits equal to or greater than their own?      Yes      No

Is the applicant named as an additional insured on all subcontractors'  
policies?      Yes      No

Does the applicant use written subcontractor agreements containing hold  
harmless/indemnity agreements in favor of the risk?      Yes      No

Indicate type of subcontractor agreements the risk typically signs?

Standard (AIA contracts)      Custom

7. Does the applicant ever take over the subcontracting work of an uncompleted  
project from another subcontractor at any phase of construction?

Yes      No

8. Within the last 10 years, has this insured ever been involved as a CONTRACTOR  
in the vertical construction of commercial buildings, residential homes,  
condominiums, apartments, or townhouses?      Yes      No

If YES, please describe: \_\_\_\_\_

9. Any current or past involvement with *wrap-up/OCIP*?      Yes      No

10. Does the insured have a risk transfer program?      Yes      No

a. If YES, please attach a copy with the supplemental

11. Maximum depth? \_\_\_\_\_ft.

12. Any work from heights above two stories?      Yes      No

13. Does insured perform any utility hookup or connections to building or meter?

Yes      No

14. Does insured perform any work around power lines?      Yes      No

15. Is the route of excavation white lined before the utility locator arrives on site?

Yes      No

16. Does the risk do hand digging within 18 inches to 24 inches (depending on state regulations) from the center of the utility line?      Yes      No

17. Does the risk require new marked lines by third-party utility service company for excavators incurring extended time requirements (10 days or more) and or following inclement weather?      Yes      No

18. Are photographs or videos taken before and after the excavation?

Yes      No

19. Does the risk get involved in any of the following operations?

|  |     |    |
|--|-----|----|
| Airport or runway work or air traffic control tower work   | Yes | No |
| Asbestos, lead paint, mold, radon, underground or aboveground storage tank or hazardous abatement or remediation work  | Yes | No |
| Blasting/Explosive operations  | Yes | No |
| Bridge work and overpasses, including structural repair  | Yes | No |
| Concrete mix in transit  | Yes | No |
| Condominium or townhouse <b>conversion</b> work  | Yes | No |
| Conveyor work  | Yes | No |
| Crane operations and rigging   | Yes | No |
| Crane rental to others- with or without operators  | Yes | No |
| Dam or reservoir construction or contracting work on such structure including cofferdams and caisson building  | Yes | No |
| Demolition work, <b>other than</b> soft demo inside of buildings for remodeling purposes and demolition of one-story structures in preparation of construction sites | Yes | No |
| Dredging operations  | Yes | No |

|  |     |    |
|--|-----|----|
| Earth retaining wall operations, <b>other than</b> non-load bearing landscape walls that are a maximum 4 feet in height  | Yes | No |
| Environmental remediation/abatement/impairment operations of any type  | Yes | No |
| Equipment rental with operators to others  | Yes | No |
| Flood control prevention work  | Yes | No |
| Hauling underground storage tanks or contaminated soil or cutting/breakdown of the tanks   | Yes | No |
| Landfill or refuse operations, construction or closure operations – past, present or future  | Yes | No |
| Levee or breakwater construction   | Yes | No |
| Local trucking for hire ( <b>other than</b> sand/gravel hauling <25% of total shipments)   | Yes | No |
| On-site treatment of contaminated soils  | Yes | No |
| Petrochemical, oil/gas, or oil field refining work, any operations conducted in oil field  | Yes | No |
| Pile driving of any kind   | Yes | No |
| Powerline construction / work  | Yes | No |
| Protective service work, such as security guards or alarm servicing or repair  | Yes | No |
| Railroads, subway or street railway construction work  | Yes | No |
| Refineries   | Yes | No |
| Snow plowing operations  | Yes | No |
| Tank construction, removal, erection, cleaning or repair ( <b>other than</b> septic tank work) or underground storage tank removal including removal of contaminated soils | Yes | No |
| Telephone, telegraph, or cable line construction involving overhead exposures or work at heights   | Yes | No |
| Tunneling work of any kind   | Yes | No |
| Underpinning buildings   | Yes | No |
| Work for barges or any other types of floatation vessels   | Yes | No |
| Waste treatment work, <b>other than</b> septic tank removal  | Yes | No |

20. Enter the risk's own payroll that emanates from the following operations:

| <b>EXPOSURES:</b>            | <b>PAYROLL:</b> | <b>EXPOSURES:</b>         | <b>PAYROLL:</b> |
|------------------------------|-----------------|---------------------------|-----------------|
| Land Grading                 |                 | Sand and gravel           |                 |
| Excavation                   |                 | Aggregate sales           |                 |
| Foundation from construction |                 | Aggregate hauling         |                 |
| Concrete pouring for any use |                 | Drilling other than water |                 |
| Concrete pumping             |                 | Trucking                  |                 |
| Paving or repaving (asphalt) |                 | Dump operations           |                 |
| Concrete construction        |                 | Snow removal              |                 |
| Street and road              |                 | Tree trimming             |                 |
| Demolition                   |                 | Wrecking ball operations  |                 |
| Debris removal               |                 | Equipment rental          |                 |
| Conduit                      |                 | Tank construction         |                 |
| Directional drilling/boring  |                 | Overhead lines            |                 |
| Sewer                        |                 | Pipelines construction    |                 |
| Septic                       |                 | Tunneling                 |                 |
| Blasting                     |                 | Landscaping               |                 |
| Gas mains                    |                 | Quarrying                 |                 |
| Water mains                  |                 | Irrigation or drainage    |                 |
| Dam or levee work            |                 | Tank construction         |                 |

21. Has the risk ever been named in claims and/or litigation regarding faulty or defective construction (construction defect claims) or workmanship, including claims dues to subsidence issues or use of EIFS?      Yes      No

If YES, please provide details on claims/litigation and how the issue was corrected:

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22. Does risk have knowledge of any pre-existing act, omission event, condition, or damages to any person or property that may potentially give rise to any future claim or legal action?      Yes      No

If YES, please describe:

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23. If insured works in NY State, what percent of work (if any) in NYC 5 boroughs?  
 \_\_\_\_\_%

24. Has the insured been involved in any action over or labor law claims?

Yes      No

**HISTORICAL EXPOSURE – GENERAL LIABILITY**

|                  | <b>Expiring Year</b> | <b>1<sup>st</sup> Prior Year</b> | <b>2<sup>nd</sup> Prior Year</b> | <b>3<sup>rd</sup> Prior Year</b> | <b>4<sup>th</sup> Prior Year</b> |
|------------------|----------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
| GL Premium       | \$                   | \$                               | \$                               | \$                               | \$                               |
| GL Total Payroll | \$                   | \$                               | \$                               | \$                               | \$                               |
| Annual Receipts  | \$                   | \$                               | \$                               | \$                               | \$                               |

**High Priority/ Critical Jobs**

The risk needs to ask the utility owner if this job is considered a high priority or critical job. Some job examples would be high pressure water or gas pipe, power transmission lines. In addition to the requirements noted above, does the risk:

- Schedule a pre-excavation meeting on the jobsite with the facility owner and prime contractor?      Yes      No
- Utilize pot holing, air knives, or vacuum excavation techniques to verify utility locates?      Yes      No

**Risk Management**

- Do you conduct pre-employment drug testing?      Yes      No
- Do you have documented safety programs?      Yes      No
- Do you have tailgate/toolbox safety meetings?      Yes      No
- Are employees allowed to drive company vehicles for personal use?      Yes      No
- If YES, when? \_\_\_\_\_
- Do family members have use of company vehicles?      Yes      No
- If YES, when? \_\_\_\_\_
- Are there written procedures for use of company vehicles?      Yes      No
- Do you carry professional liability coverage?      Yes      No
- Do you carry Workers’ Compensation Coverage?      Yes      No

**PLEASE INCLUDE THE FOLLOWING ITEMS ALONG WITH THIS SUPPLEMENTAL APPLICATION:**

- Completed & signed accord applications for lines of business to be quoted

- 5 years plus 1 current year of currently valued, hard copy loss runs for the General Liability line of business. Loss runs should be valued within the past 90 days and include a brief description of all claims over \$10,000.
- Current financials will be required for all accounts that generate over \$250,000 in annual premium, or with a SIR \$50,000 or higher.
- Current job listing

Producer's Signature

Date:

Applicant's Signature

Date:

Applicant's Email